

2611

PTO/SB/21 (02-04) (AW 02/2004)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 51

Application Number	09/883,533
Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

### ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
  - ☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please  
identify below): 1449, copies of  
4 cited references and European  
Search Report

Remarks:

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### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Kenneth N. Nigon	Registration No. (Attorney/Agent)	31,549
Signature			
Date	March 23, 2004		

### CERTIFICATE OF TRANSMISSION / MAILING

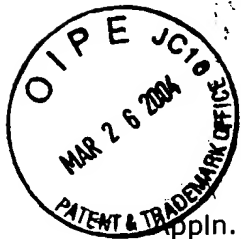
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Signature		Date	March 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MATP-604US



PATENT

#5  
W. Lawren  
4/1/04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/883,533  
Applicant: Gopal K. Srivastava  
Filed: June 18, 2001  
Title: CONTROL OF MULTIPLE AV-DEVICES BY A SINGLE MASTER CONTROLLER  
USING INFRARED TRANSMITTED COMMANDS AND BUS TRANSMITTED  
COMMANDS  
TC/A.U.: 2611  
Examiner: Andrew I. Faile  
Docket No.: MATP-604US

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Alexandria, VA 22313-1450

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Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

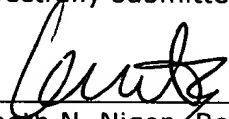
References JP 11 317756 A and DE 195 34 883 A are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance

determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Kenneth N. Nigon, Reg. No. 31,549  
Attorney(s) for Applicant(s)

KNN/tmb

Enclosures: PTO Form 1449  
(4) References  
Search Report  
Transmittal Form

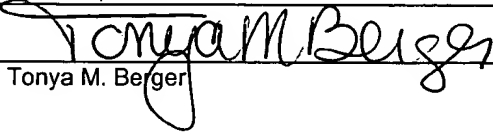
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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

**Complete if Known**

Application Number	09/883,533
Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,097,441 A	08/01/2000	Allport	Col. 6, lines 40-45; Col. 14, line 25 - 30; Col. 15; Col. 11, lines 12 - 22; Col. 13, line 1; Col. 8, lines 43 - 45; Col. 9, lines 45 - 67; Col. 10
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 11 317756 A	11/16/1999	Sony Corp.	Abstract	<input type="checkbox"/>
		DE 195 34 883 A	04/11/1996	Merz Werke GMBH & CO KG	Entire Document	<input type="checkbox"/>
		WO 00 70578 A	11/23/2000	Konin-Klijke Philips Electronics N.V.	Entire Document	<input type="checkbox"/>
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						<input type="checkbox"/>
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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*(Use as many sheets as necessary)*

**SHEET 2 of 2**

**Complete if Known**

Application Number	09/883,533
Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

## NON-PATENT LITERATURE DOCUMENTS

[illegible]

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